02-06-07

PTO/SB/21 (09-06)

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OPE A	Application Number	10/587,444	4				
/ TRANSMITTAL	Filing Date	July 25, 20	006				
FER O 5 7007 FORM	First Named Inventor	Janet Bog	gs				
La FEB	Art Unit	Unassigne	ed				
The war and a correspondence after initial a	Examiner Name	Unknown					
Total Number of Pages in This Submission	Attorney Docket Number	PC3-325		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
	ENCLOSURES (Check all	l that apply	<i>y</i> )				
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)	Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Attorney			Appea of App Appea (Appea	I Communication to TC I Communication to Board leals and Interferences I Communication to TC I Notice, Brief, Reply Brief) letary Information Letter		
Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority	Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Landscape Table on Cl		PTO Copie	Other Enclosure(s) (please Identify below): Return Receipt Postcard Form-1449 es of all cited foreign references articles			
Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Customer No. 021567  NO FEE IS REQUIRED WITH THIS  However should a fee be determined Deposit Account No. 23-0925.		please ch	harge s	uch fee to		
	TURE OF APPLICANT, ATTO	RNEY, C	OR AGE	ENT			
Firm Name  Wells St. John P.S.  Signature							
Printed name							
Robert G. Hyta		<u> </u>					
Date 1/31/07		Reg. No.	46,791				
CI	RTIFICATE OF TRANSMISS	SION/MAI	ILING				
I hereby certify that this correspondence is b sufficient postage as first class mail in an enthe date shown below:							
Signature	Mail, receipt label no. EV 979440244	US					
Typed or printed name				Date	February <u>05</u> , 2007		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No	
Filing Date	July 25, 2006
Inventor	Janet Boggs et al.
Assignee	PCBU Services, Inc.
Group Art Unit	
Examiner	Unknown
Attorney's Docket No	PC3-325
Customer No	021567
Title: Production Processes and Systems, Metal Complexes, Phosphate Esters, G Stabilizers	Compositions, Surfactants, Monomer Units, lycols, Aqueous Film Forming Foams, and Foam

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

The attached Form PTO-1449 is submitted In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed to the references listed on the attached Form PTO-1449. Pursuant to Federal Register Vol. 69, No. 182, pg. 56542 (September 21, 2004), no copies of any cited U.S. patents or U.S. published applications are included herewith. Copies of all other cited art references are attached. No admission is made regarding whether the submitted references are prior art.

This Information Disclosure Statement is being filed before receipt of the first examination on the merits. Therefore, no fee is believed to be required. However, in the event that a fee is required for filing this Information Disclosure Statement, please charge the fee specified under 37 C.F.R. §1.17(p) to Deposit Account No. 23-0925.

Citation of these references is respectfully requested.

Respectfully	submitted
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Dated: 1/31/07

Reg. No. 46,791

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# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. PC3-325

SERIAL NO. 10/587,444

APPLICANT: Janet Boggs et al.

FILING DATE July 25, 2006

GROUP Unknown

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	<del></del>	Document	U.S.	PATENT DOCUMENTS		T	Tiliaa	Data
Examiner Initial			Date	Name	Class	Subclass	Filing If Appr	
	AA	1,092,141	11/67	Daikin et al.				
<b>"</b>	AB	2,559,749	07/51	Benning				
	AC	2,567,011	09/51	Diesslin et al.				
	AD	2,597,702	05/52	Benning				
	AE	2,995,542	08/61	Brown				
	AF	3,083,224	03/63	Brace et al.				
	AG	3,096,207	07/63	Cohen				
	АН	3,112,241	11/63	Mackenzie				
	Al	3,145,222	08/64	Brace				
	AJ	3,172,910	03/65	Brace				
	AK	3,194,840	07/65	Berry				
	AL	3,238,235	03/66	Hauptschein et al.				
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		Document	Date	Country	Class	Subclass	Trans	latior
		Number					Yes	No
	AM	EP 765676	04/97	Europe (abstract)			X	
	AN	DE 2120868	11/71	Germany (abstract)			х	
	AO	DE 2239709	02/73	Germany (abstract)			х	
	AP	DE 2247111	04/74	Germany (abstract)			х	
		OTHER REFE	RENCES (	including Author, Title, Date	, Pertinent Pa	ages, Etc.)		
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ATTY. DOCKET NO. PC3-325

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	AA	3,256,231	06/66	Johnson, Jr. et a	l.				
	AB	3,282,905	11/66	Fasick et al.					
_	AC	3,304,278	02/67	Hauptschein et a	١.				
	AD	3,351,643	11/67	Hauptschein et a	1.				
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	AF	3,450,755	06/69	Ahlbrecht					
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	АН	3,458,571	07/69	Tokoli					
	Al	3,475,333	10/69	Meldrum et al.					
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		Document Number	Date	Country		Class	Subclass	Yes	lation No
	АМ	DE 2247182	04/74	Germany (abstra	ct)			x	
	AN	GB 2 070 595	02/80	United Kingdom				х	
	AO	GB 2 018 759	04/78	United Kingdom				x	
	AP	JP 04272986	09/92	Japan (abstract)				х	
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LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)

ATTY. DOCKET NO. PC3-325

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APPLICANT: Janet Boggs et al.

FILING DATE July 25, 2006 GROUP Unknown

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Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appro	
	АА	3,514,420	05/70	Katsushima et al.				
	АВ	3,532,659	10/70	Hager et al.				
	AC	3,536,749	10/70	Groves				
	AD	3,544,663	12/70	Hauptschein et al.				
	AE	3,574,518	04/71	Detomaso				
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	AG	3,623,963	11/71	Voss et al.				
	АН	3,636,085	01/72	Kleiner				
	Al	3,721,706	03/73	Hoffman et al.				
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-	АМ	JP 04272987	09/92	Japan (abstract)			х	
	AN	JP 43020466	09/68	Japan (abstract)			х	
	AO	JP 44001216	01/69	Japan (abstract)			х	
	AP	JP 48023684	03/73	Japan (abstract)			х	
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SERIAL NO. 10/587,444

APPLICANT: Janet Boggs et al.

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	AA	3,824,126	07/74	Katsushima et al					
	АВ	3,839,425	10/74	Bartlett					
	AC	3,883,596	05/75	Hager et al.					
	AD	3,899,484	08/75	Walter					
	AE	3,906,049	09/75	Hager et al.					
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	Ai	3,957,657	05/76	Chiesa, Jr.					
	AJ	4,000,188	12/76	Dear et al.					
	AK	4,043,923	08/77	Loudas					
	AL								
			FOREIC	ON PATENT DOCU	MENTS	<u> </u>			
		Document Number	Date	Country		Class	Subclass		lation
	AM	JP 49040040	10/74	Japan (abstract)				Yes	No
	AN	JP 53081799	07/78	Japan (abstract)				х	
	АО	JP 55071779	05/80	Japan (abstract)				х	
	AP	JP 56122336	09/81	Japan (abstract)				х	
,		OTHER REFER	ENCES (i	ncluding Author, Title,	Date, P	ertinent Pa	ges, Etc.)		
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	AR	Nivet et al.;	"Evaluat	tion of a series of	"; Joi	ırnal of Di		Science a	nd
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\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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APPLICANT: Janet Boggs et al.

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					FILING DATE July 25, 2006		GROUP Unknown		
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	АВ	4,060,489	11/77	Chiesa Jr.					
	AC	4,081,399	03/78	Dear et al.					
	AD	4,089,804	05/78	Falk					
	AE	4,113,748	09/78	Hager et al.					
	AF	4,126,633	11/78	Toukan et al.					
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	AL	4,177,351	12/79	Toukan et al.					
-			FOREI	GN PATENT DOCUI	MENTS				
		Document Number	Date	Country	Class	Subcla	ass Yes	slation	
	AM	JP 56169666	12/81	Japan (abstract)			х		
	AN	JP 58042682	03/83	Japan (abstract)			х		
	АО	JP 58201752	11/83	Japan (abstract)			х		
	AP	JP 59059977	04/84	Japan (abstract)			х		
		OTHER REFI	ERENCES (	including Author, Title,	Date, Pertinent F	Pages, Etc.)	)		
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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-325 10/587,444 APPLICANT: Janet Boggs et al. LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) **FILING DATE** GROUP July 25, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Class Subclass Date Name Number If Appropriate Initial AΑ 4,188,307 02/80 **Bathelt** 03/80 Marshall et al. AB 4,192,754 AC 4,209,456 06/80 Billenstein et al. AD 4,230,495 10/80 Lee et al. 4.254,266 03/81 Toukan et al. ΑE ΑF 08/81 4,283,533 Richter 12/81 Hisamoto et al. AG 4,303,534 12/81 AΗ 4.306.979 Tsuii ΑI 4,317,859 03/82 **Smith** AJ 4.351,946 09/82 Toukan et al. 12/82 ΑK 4,366,299 **Dessaint** AL 4,387,032 06/83 Chiesa, Jr. **FOREIGN PATENT DOCUMENTS** Translation Document Date Country Class Subclass Number Yes No ΑM JP 61069813 04/86 Japan (abstract) Х 01/96 X AN JP 08012536 Japan (abstract) ΑO JP 08081883 03/96 Χ Japan (abstract)

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Enjalbert et al.; "Synthesis of new cationic and amphoteric triple-chain...."; Research on Chemical Intermediates, 1998; 1 page; (Database 1998:422963 AQ CAPLUS)

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-325 10/587.444 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) **GROUP** FILING DATE July 25, 2006 Unknown **U.S. PATENT DOCUMENTS** Filing Date Examiner Document Date Name Class Subclass If Appropriate Initial Number 4,388,212 06/83 Richter AΑ AB 4,419,298 12/83 Falk et al. AC 12/83 Falk 4,420,434 AD 4,424,133 01/84 Mulligan ΑE 4,460,480 07/84 Kleiner et al. ΑF 08/84 4,464,267 Chiesa, Jr. et al. 09/84 Falk AG 4,472,286 10/84 Dessaint et al. AΗ 4,478,975 ΑI 4,486,391 12/84 Hashimoto **FOREIGN PATENT DOCUMENTS** Translation Document Date Class Subclass Country Number Yes No X ΑJ JP 2000159840 06/00 Japan (abstract) AK JP 2003312156 11/03 Х Japan (abstract) 01/05 PCT-IPRP/Written US2005/003429 AL Х Opinion AM US05/03429 01/05 PCT-Int'l Search Rpt Х 01/05 US2005/002617 **PCT-IPRP/Written** ΑN Х Opinion AΟ US05/02617 01/05 PCT-Int'l Search Rpt X OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Szonyi et al.; "Synthesis of new amphoteric ... double-chain..."; Rivista Italiana ΑP delle Sostanze Grasse, 1998; 1 page; (Database 1998:465664 CAPLUS) Prescher et al.; "Nitrogen-containing fluorosurfactants:"; Tenside, Surfactants, AQ **Detergents, 1992; 1 page; (Database 1992:653923 CAPLUS) EXAMINER** DATE CONSIDERED \*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-325 10/587,444 LIST OF ART CITED BY APPLICANT APPLICANT: Janet Boggs et al. (Use several sheets if necessary) FILING DATE **GROUP** Unknown July 25, 2006 **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Class Subclass Name Number If Appropriate Initial 4,507,859 04/85 Shinjo AA AB 4,563,287 01/86 Hisamoto et al. 05/86 Lofquist et al. AC 4,591,473 07/86 ÁD 4,600,774 Koshar ΑE 4,697,011 09/87 DesMarteau ΑF 4,717,744 01/88 Boutevin et al. AG 4,720,578 01/88 Liu ΑН 4,760,205 07/88 Probst et al. 05/89 Caporiccio et al. 4,833,274 ΑI ΑJ 4,879,324 11/89 Lausberg et al. **FOREIGN PATENT DOCUMENTS** Translation Document Date Country Class Subclass Number Yes No US2005/003433 01/05 **PCT-IPRP/Written** ΑK Х **Opinion** ΑL US05/03433 01/05 PCT-Int'l Search Rpt Χ US2005/003137 01/05 PCT-IPRP/Written X AM Opinion PCT-Int'l Search Rpt X US05/03137 01/05 AN OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) Enjalbert et al.; "Synthesis fo new double-chain cationic...."; Tenside, ΑO Surfactants, Detergents, 1998; 1 page; (Database 1992:550675 CAPLUS) Rzhevskii et al.; "Testing of \*\*\*surfactants\*\*\*..."; Tsvetnye Metally (Moscow), ΑP 1998; 1 page; (Database 1999:114673 CAPLUS) Murata et al.; "Selective synthesis of fluorinated ethers...."; The Royal Society AQ of Chemistry, 2002; pps. 60-63 **EXAMINER** DATE CONSIDERED \*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FILING DATE GROUP July 25, 2006 Unknown

			U.S. P	ATENT DOCUMENTS				
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	АВ	4,983,769	01/91	Bertocchio et al.			٠.	
	AC	4,898,981	02/90	Falk et al.				
	AD	5,026,910	06/91	Bollens et al.				
	AE	5,045,634	09/91	Fernandez et al.				
	AF	5,091,550	02/92	Falk et al.				
	AG	5,107,021	04/92	Bollens et al.				
	АН	5,132,445	07/92	Falk et al.				
	AI	5,218,021	06/93	Clark et al.				
	AJ	5,240,990	08/93	Kallfass et al.				
	AK	5,310,870	05/94	Peavy				
	AL	5,391,721	02/95	Hanen et al.				
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		Document Number	Date	Country	Class	Subclass	Trans Yes	lation No
	AM	US2005/003138	01/05	PCT-IPRP/Written Opinion			x	
	AN	US05/03138	01/05	PCT-Int'l Search Rpt			х	
	АО	7-793	07/95	Japan				x
	AP	2-12681	02/90	Japan				х

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Sheet 10 of 13 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. Form PTO-1449 SERIAL NO. PATENT AND TRADEMARK OFFICE PC3-325 10/587,444 APPLICANT: Janet Boggs et al. LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) **FILING DATE GROUP** July 25, 2006 Unknown **U.S. PATENT DOCUMENTS** Examiner Document Filing Date Date Class Subclass Name If Appropriate Number Initial AΑ 5,395,997 03/97 Van Der Puy, et al. 08/95 Lina et al. AB 5,439,998 12/95 Incorvia AC 5,478,486 AD 5,491,261 02/96 Haniff et al. ΑE 5,508,099 04/96 Incorvia 07/96 ΑF 5,534,192 Incorvia et al. 07/96 AG 5,539,024 Kirchmeyer et al. 08/96 Kirchmeyer et al. AΗ 5,547,711 ΑI 5,629,372 05/97 Anton et al. ΑJ 5,639,845 06/97 Inomata et al. 07/97 Prossel et al. ΑK 5,648,527 07/97 Prossel et al. AL 5,648,528 FOREIGN PATENT DOCUMENTS Translation Document Date Class Subclass Country Number Yes No X AM WO 94/18245 08/94 **PCT** Χ ΑN WO 98/19742 05/98 **PCT** WO 01/27235 04/01 **PCT** ΑO X **A1** 

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	AA	5,674,961	10/97	Fitzgerald						
	AB	5,798,415	08/98	Corpart et al.						
	AC	5,827,919	10/98	May						
	AD	5,847,206	12/98	Pavia et al.						
	AE	5,847,243	12/98	Skiya et al.						
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	АН	5,965,656	10/99	Yamamoto et al.						
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	AJ	6,015,838	01/00	Stern et al.						
	AK	6,031,141	02/00	Mallikarjuna et a	1.					
	AL	6,120,892	09/00	Fitzgerald et al.						
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ATTY. DOCKET NO. PC3-325

SERIAL NO. 10/587,444

APPLICANT: Janet Boggs et al.

FILING DATE July 25, 2006 GROUP Unknown

Examiner		Document		PATENT DOCUMENTS		I	Filing Date
Initial		Number	Date	Name	Class	Subclass	If Appropriat
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